

Receipt

PTO/SB/21 (03-03)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/809,885
Filing Date	March 16, 2001
First Named Inventor	John L. Margrave
Art Unit	1754
Examiner Name	not yet assigned
Attorney Docket Number	11321-P026US (P013D2)

Total Number of Pages in This Submission

6

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ENCLOSURES (Check all that apply)

- | | | |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance Communication to a Technology Center (TC) |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment/Reply | <input type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Terminal Disclaimer | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Request for Refund | Request for Corrected Filing Receipt (to correct Priority Data), return post card |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> CD, Number of CD(s) _____ | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | Remarks | |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application | | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual	Ross Spencer Garsson Winstead Sechrest & Minick P.C.
Signature	
Date	May 6, 2003

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

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Typed or printed	GRACE SOUYS
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Date May 6, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application: Margrave, et al.

Serial No.: 09/809,885

Filed: March 16, 2001

Group Art Unit: 1754

Examiner: Not yet assigned

Title: CHEMICAL DERIVATIZATION OF SINGLE-WALL CARBON NANOTUBES TO
FACILITATE SOLVATION THEREOF; AND USE OF DERIVATIZED NANOTUBES
TO FORM CATALYST-CONTAINING SEED MATERIALS FOR USE IN MAKING
CARBON FIBERS

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Mail Stop Amendment
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P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error in that the following is:
incorrectly entered:
 - a. please correct the correspondence address to read as follows:

XX omitted

Applicant's name

Applicant's address

Title

Filing Date

Serial Number

XX Foreign/PCT Application Reference

XX Other: This application is a divisional of U.S. Serial No. 09/787,473, filed on March 16, 2003, which is a 371 application of PCT/US99/21366, filed on 09/17/1999. The International Application claims priority to three (3) United States provisional applications. Enclosed is a copy of Page 2 of the Preliminary Amendment filed on March 16, 2001 that correctly identifies this application as a divisional of parent 09/787,473, which is a 371 National Phase application of PCT/US99/21366. Enclosed is a copy of the filing receipt of the parent application with the correct priority information. Please correct the filing receipt to indicate that this is a Divisional application. Also enclosed is a copy of the Official Filing Receipt with the omitted priority claim inserted.

Applicant respectfully requests that this priority claim be inserted into the PALM database as soon as possible for issuance of a corrected Filing Receipt.

3. (complete the following applicable item A or B)

A. **XX** The correction is not due to any error by applicant and no fee is due

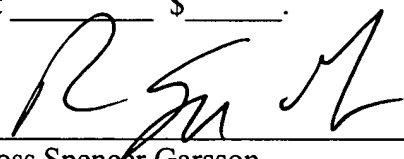
OR

B. The correction is due to applicant's error and the fee therefore under 37 CFR 1.19(h) of \$25.00 is paid as follows:

Enclosed is check for \$_____.

Charge Deposit Account _____ \$_____.

Date: May 6, 2003



Ross Spencer Garsson
Reg. No. 38,150

WINSTEAD SECHREST & MINICK, P.C.
P.O. Box 50784
1201 Main Street
Dallas, TX 75250-0784
Tel. No. 512.370.2870



IN THE SPECIFICATION:

Please amend the specification as follows:

Page 1, line 5, "BACKGROUND OF THE INVENTION," insert:

PRIORITY BENEFIT AND CROSS REFERENCE TO RELATED APPLICATIONS

This Application is a divisional application of U. S. Patent Application Serial No. 09/____
_____"CHEMICAL DERIVATIZATION OF SINGLE-WALL CARBON NANOTUBES
TO FACILITATE SOLVATION THEREOF; AND USE OF DERIVATIZED NANOTUBES TO
FORM CATALYST-CONTAINING SEED MATERIALS FOR USE IN MAKING CARBON
FIBERS" to Margraves et al., (Attorney Docket No. 11321-P013WOUS), filed concurrent to the date
of this Application. this application claims priority benefits to U.S. Patent Application Serial No.
09/_____(Attorney Docket No. 11321-P013WOUS), and claims priority benefits to
International Application No. PCT/US 99/21366, filed September 1999, which application claims
priority benefits to United States Patent Application Nos. (1) 60/101,092, filed September 18, 1998;
(2) 60/106,918 filed November 3, 1998; and (3) 60/138,505, filed June 10, 1999, all of which are
hereby incorporated by reference.

The present invention is related to the following corresponding U.S. Patent Applications, all of
which are divisionals of the U.S. Patent Application Serial No. 09/_____(Attorney
Docket No. 11321-P013US):

Serial No. 09/_____"CHEMICAL DERIVATIZATION OF SINGLE-WALL
CARBON NANOTUBES TO FACILITATE SOLVATION THEREOF; AND USE OF
DERIVATIZED NANOTUBES TO FORM CATALYST-CONTAINING SEED MATERIALS FOR
USE IN MAKING CARBON FIBERS" to Margraves et al., (Attorney Docket No. 11321-P025US),
filed concurrent to the date of this Application;

Serial No. 09/_____"CHEMICAL DERIVATIZATION OF SINGLE-WALL
CARBON NANOTUBES TO FACILITATE SOLVATION THEREOF; AND USE OF
DERIVATIZED NANOTUBES TO FORM CATALYST-CONTAINING SEED MATERIALS FOR
USE IN MAKING CARBON FIBERS" to Margraves et al., (Attorney Docket No. 11321-P027US),
filed concurrent to the date of this Application;



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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/787,473	03/16/2001	1754	672	11321-P013WO	26	1	1

CONFIRMATION NO. 2529

FILING RECEIPT



OC000000005989523

Ross Spencer Garsson
Winstead Sechrest & Minick
2400 Bank One Center
910 Travis Street
Houston, TX 77002

Date Mailed: 04/20/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

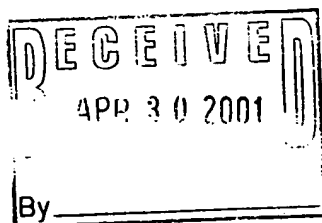
Applicant(s)

Jonh L. Margrave, Bellaire, TX;
Edward T. Mickelson, Pearland, TX;
Robert Hague, Houston, TX;
Peter Boul, Houston, TX;
Chad Huffman, Houston, TX;
Jie Liu, Chapel Hill, NC;
Richard E. Smalley, Houston, TX;
Ken Smith, Katy, TX;
Daniel T. Colbert, Houston, TX;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/US99/21366 09/17/1999
WHICH CLAIMS BENEFIT OF 60/101,092 09/18/1998
WHICH CLAIMS BENEFIT OF 60/106,918 11/03/1998
WHICH CLAIMS BENEFIT OF 60/138,505 06/10/1999

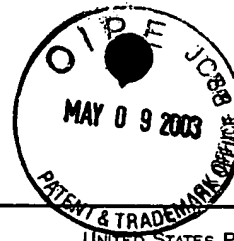
Foreign Applications



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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/809,885	03/16/2001	1754	620	11321-P026US	26	45	4

CONFIRMATION NO. 7715

FILING RECEIPT



OC000000006134576

Attention: Ross Spencer Garsson
Winstead Sechrest & Minick P.C.
Suite 800
100 Congress Avenue
Austin, TX 78701

Date Mailed: 05/31/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

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Peter Boul, Houston, TX;
Chad Huffman, Houston, TX;
Jie Liu, Chapel Hill, NC;
Richard E. Smalley, Houston, TX;
Ken Smith, Katy, TX;
Daniel T. Colbert, Houston, TX;

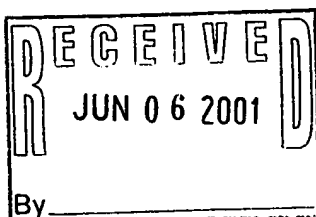
Domestic Priority data as claimed by applicant:

This application is a divisional of 09/787,473 3/16/01 which is a 371 of PCT/US99/21366 9/17/1999

WHICH THIS APPLN CLAIMS BENEFIT OF 60/101,092 09/18/1998
WHICH CLAIMS BENEFIT OF 60/106,918 11/03/1998
WHICH CLAIMS BENEFIT OF 60/138,505 06/10/1999

Foreign Applications

PCT/US99/21366 09/17/1999



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